

## RESEARCH ARTICLE

# Quantitative prediction of the 3D permeability tensor for a fractured reservoir in the Dingbian oilfield, Ordos basin, Central China

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## Abstract

Understanding existing fractures is critical to achieving high and stable yields in low-permeability reservoirs, tight sandstones, and shale reservoirs. When multiple fracture sets are present in a reservoir, accurately determining the seepage direction of fluids is critical for the deployment of the well network, fracture reformation, and the design of horizontal wells. In this paper, based on conventional logging data calculations and rock triaxial mechanical experiments, a heterogeneous finite element model was established using the finite element software. The densities and the occurrence of the natural fractures were calculated by numerical simulation of the paleostress field; the fracture apertures were calculated numerically by a current in situ stress simulation. Using a combined static coordinate system and dynamic coordinate system approach, a model suitable for determining the 3D permeability tensor of multiple fractures is established, and the formula of the permeability tensor is given. In addition, by adjusting the rotation angle and flip angle in the dynamic coordinate system, the permeability of elements is predicted for different directions. The results show that fractures mainly trend in the NE (45°) and ESE (120°) directions. Additionally, the results of differential strain, microseismic monitoring, stress relief, and regional borehole collapse observations indicate that the dominant direction of the in situ stress field is ENE (70°). The principal values of maximum permeability in the reservoirs in the Chang 7<sub>1</sub> layer mainly range from 0.05 to 2 × 10<sup>-3</sup> μm<sup>2</sup>, and in the horizontal plane, the direction of maximum principal permeability ranges from 56 to 124°.

## KEYWORDS

finite element method (FEM), fractured reservoir, permeability tensor, quantitative prediction, stress field simulation

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## 1 | INTRODUCTION

In the exploration and development process of low-permeability reservoirs, fractures are the main path for oil and gas seepage, and anisotropy in the fracture permeability is the dominant factor that affects the oil-water flow direction.<sup>1-3</sup> The difficulty in the exploration and development of fractured reservoirs lies in the prediction of the distribution range and the degree of development of fractures in the reservoir rocks, as well as the analysis and evaluation of fracture permeability anisotropy.<sup>4-13</sup> In underground reservoirs, the distribution of natural fractures is very complicated, and the fracture permeability anisotropy is affected by multiple factors such as the fracture occurrence, density, and aperture.

The formation and distribution of natural fractures are controlled by the paleotectonic stress field, and rock mechanics parameters play a critical role in the distribution of the paleostress field in the Ordos Basin.<sup>11,14-18</sup> The finite element method (FEM) is generally used to analyze the tectonic stress field (paleo- and current) and has been well verified. Therefore, it is important to establish a finite element model and reconstructing the stress field.<sup>7,16,19-22</sup> Studies of in situ stress based on well measurements are relatively common.<sup>23,24</sup> Through paleomagnetism experiments, anisotropic sound velocity methods, and field measurement methods, such as borehole collapse, hydraulic fracturing, and downhole microseismic methods, the magnitude and orientation of the in situ stress in a well can be determined.<sup>21,25</sup> In addition, many researchers have proposed in situ stress calculation models based on conventional and acoustic image logs.<sup>26-28</sup> However, it is difficult to accurately describe the distribution of the stress field in a reservoir using discontinuous point data collected at wells and 1D continuous data calculated based on well logs. To predict the interwell stress distribution, in situ stress field simulations based on the FEM have become a common analytical prediction technique.<sup>15,21</sup>

Snow<sup>29</sup> determined the permeability tensor of fractured rocks by statistical analysis of the distribution characteristics of directional fractures. Oda<sup>30</sup> proposed a statistical theory-based method to deduce the permeability tensor. Renard et al<sup>31</sup> and Min et al<sup>32</sup> adopted a numerical method of rock stretching and shear deformation to study the stress sensitivity of the fracture permeability. Wong and Du<sup>33</sup> and Wong and Li<sup>34</sup> proposed a mathematical flow model based on the stress sensitivity of fractured reservoirs and suggested that the variation in fracture permeability anisotropy is caused by stress. Bagheri and Settari,<sup>35</sup> Hassanpour et al<sup>36</sup> and Dayani et al<sup>37</sup> studied the permeability tensor of a fracture through numerical simulations. Metwally and Chesnokov<sup>38</sup> studied the permeability tensor of shale through laboratory measurements and established a relationship between the permeability anisotropy and the effective pressure. Rong et al<sup>39</sup> proposed a new calculation model for the permeability tensor

and established a constitutive model for the elastic fracturing of rocks, including consideration of fracture expansion during the shearing process. Chen et al<sup>40</sup> derived the theoretical relationship between shale permeability and effective stress and discussed the correlation between the fracture compressibility and the shale characteristics. Pereira<sup>41</sup> used the grayscale lattice Boltzmann (LB) method to determine the flow of two immiscible fluids through this three-dimensional porous medium.

In calculations of the fracture permeability tensor, many models have considered the complexity of natural fractures, the heterogeneity of the stress distribution, and the practical applications in geological modeling and reservoir engineering. To our knowledge, when multiple fractures (with different scales, formation timing, apertures, and densities) are present, determining the principal permeability in a fracture is difficult, especially in reservoir simulations, fracture predictions, and development engineering applications. The methods of measuring and calculating (or predicting) the permeability tensor in the published literatures are suitable for calculating only the direction of the principal stress or the magnitude of permeability in the direction of the coordinate axis. Therefore, precisely determining the direction of maximum permeability in an anisotropic medium remains a challenging issue. In traditional numerical simulations, geological modeling, and fracture modeling, the form of a vector or scalar is often adopted to characterize the permeability of fractures, but it is assumed that the geodetic coordinate axis is in the same direction as the principal permeability. If the direction of the principal permeability in the plane is considerably different from the direction of the geodetic coordinate axis, the application of a simple vector to characterize the permeability can cause relatively large errors in the subsequent quantification of the permeability anisotropy. Therefore, the establishment of a multicoordinate model can provide a more accurate method for calculating the direction of maximum permeability. In this paper, through the paleostress field and in situ stress field, the 3D distributions of fracture density, aperture, and occurrence are predicted. Based on the mathematical model of dynamic and static coordinate systems, a new quantitative prediction method of fracture permeability tensor is proposed that can accurately output the permeability of any section and direction. This method and model can be widely used in fractured reservoir simulation, fracture characterization prediction, horizontal well design, and development well pattern deployment.

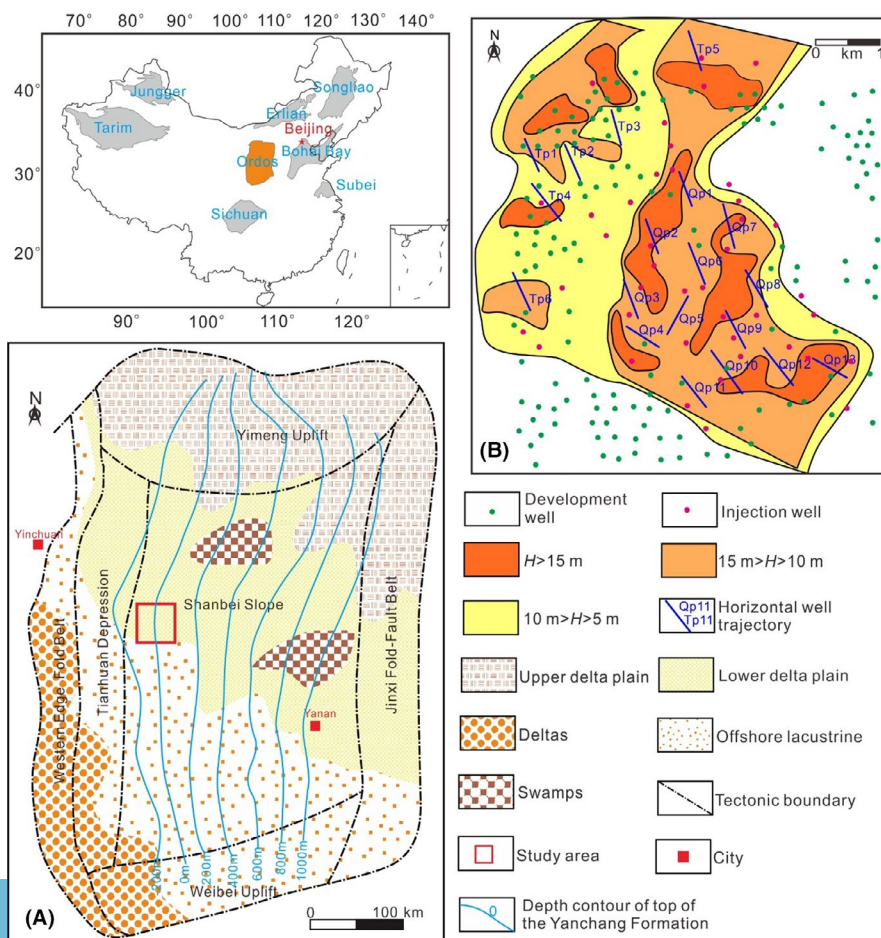
## 2 | GEOLOGICAL SETTING

The study area, the Fanxue block, is located in Dingbian County, Shaanxi Province, and is structurally located in the western Ordos Basin, central China. The tectonic

form of the basin is generally a north-south asymmetrical rectangular basin that is open to the east and steep to the west (Figure 1A). The fractures and folds at the edge of the basin are relatively well developed, while the internal structure of the basin is simple and the stratum is flat, with a slope generally less than  $1^\circ$  (Figure 1A). As shown in Figure 2, the main strata that developed in the Dingbian area are the Upper Triassic Yanchang Formation, Jurassic Fuxian Formation, Yan'an Formation, Zhiluo Formation, and An'ding Formation as well as some Cretaceous and Quaternary strata. The Triassic Yanchang Formation is the main natural gas and oil formation in this area. The Upper Triassic Yanchang Formation is subdivided into 10 layers according to its oil-bearing formation. The lower Upper Triassic Yanchang Formation mainly includes deltaic facies and lacustrine facies. The source of the Chang 7 oil-bearing formation is mainly from the northeast, in the depositional environment of the delta front.<sup>42-44</sup> The sands of the Chang 7 oil-bearing formation are from a semideep lake turbidite fan, in which the turbidite fan is divided into slope-shifting turbidite fans and slump turbidite fans (Figure 1B). Analysis of the test data of the Chang 7 oil-bearing formation shows that the main porosity distribution ranges from 7% to 11%, and the main permeability

distribution ranges from  $0.1 \times 10^{-3} \mu\text{m}^2$  to  $1 \times 10^{-3} \mu\text{m}^2$ , indicative of an ultralow porosity, ultralow permeability reservoir.

The Chang 7 sandstone is mainly lithic quartz sandstone, followed by feldspar lithic sandstone. The main clastic components are quartz and rock debris. According to statistics from the cores of the study area, the average quartz content is 55.7%, with feldspar and cuttings accounting for 11.4% and 23.6%, respectively. According to the distribution characteristics of the core pore throat, the radius distribution of the pore throat primarily has a single peak, the throat distribution range is narrow, and the peak value is mainly distributed between 0.1 and 1  $\mu\text{m}$ , which are characteristics indicative of a fine throat and fine tunnel (Figure 3). By calculating the physical data and log interpretation data of some samples in the Fanxue area, the coefficient of variation of permeability is 2.78, the coefficient of penetration is 18.15, the permeability gradient is 96 000, and the coefficient of homogeneity of permeability is 0.055. Therefore, for the tight reservoir in the study area, because of its strong heterogeneity, the traditional homogeneous geomechanical modeling of simple facies attributes is not applicable. The heterogeneous modeling of reservoir geomechanics is the premise of fine stress field simulations and reservoir fracture predictions.



**FIGURE 1** (A) Location of the Fanxue block (modified according to Zeng et al<sup>12</sup>); and (B) sandstone thickness distribution of the Chang 7<sub>1</sub> layer in the Fanxue block;  $H$  is the sandstone thickness

### 3 | THEORY AND METHODS

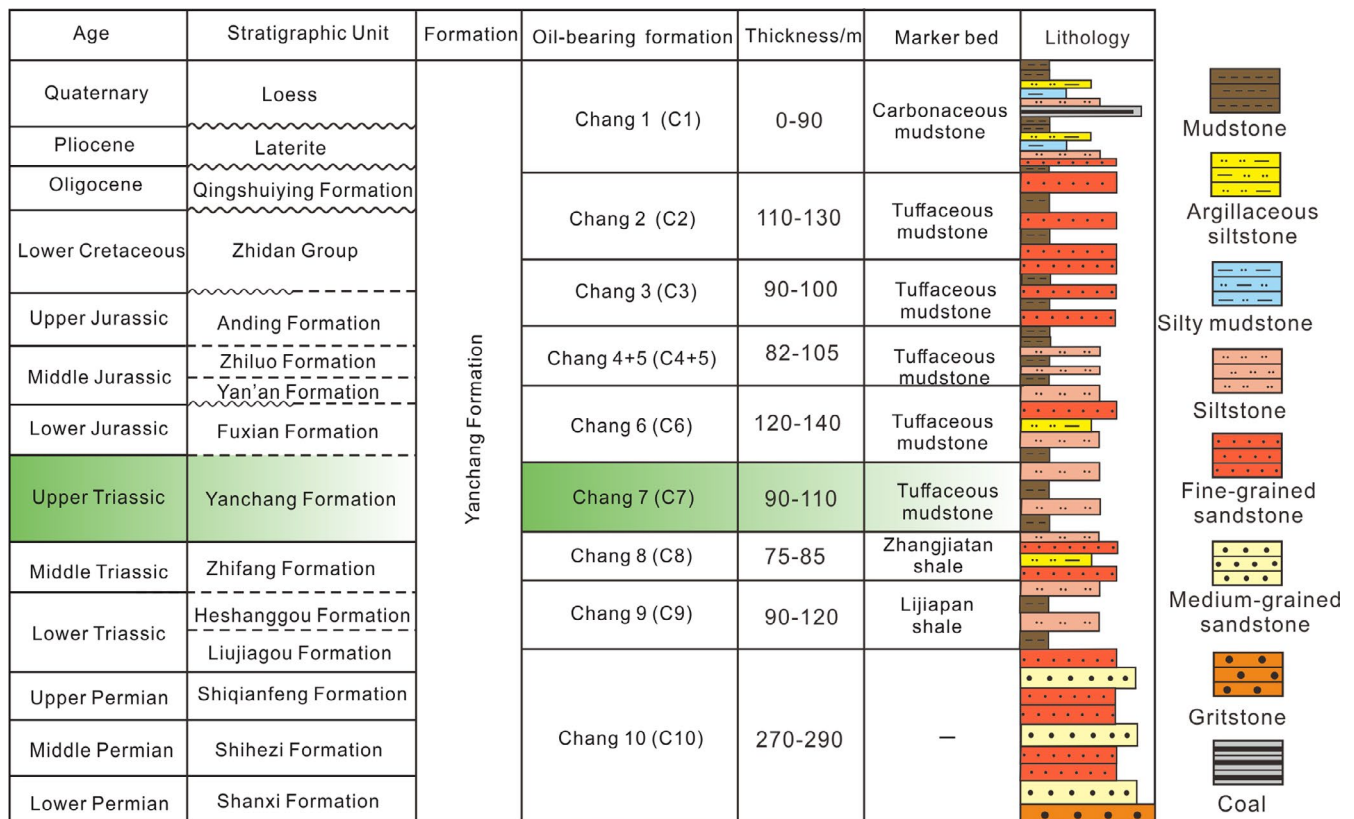
In this paper, using the paleostructural restoration results at different periods, a geological model for the numerical simulation of the paleo- and current stress fields is established. Through analyses of core observations, the direction of the paleostress field during the period of fracture formation is determined. According to the types of logging curves, the distance from the study area (<600 m), and the distribution of sedimentary facies, we selected 145 wells with acoustic logging and density logging for geomechanical modeling. Through triaxial mechanical experiments and conventional logging interpretation results from 145 wells, a 3D heterogeneous finite element model of the study area is established using finite element software. Based on the results of differential strain, microseismic monitoring, stress relief, and regional borehole collapse measurements, the direction of the maximum horizontal principal stress in the Fanxue block is determined. By determining the stress boundary and displacement constraints, the boundary conditions for the simulation of the paleo- and current stress fields are determined (Figure 4). Then, the occurrence and density of fractures are predicted through the proposed method of paleostress field simulation. The fracture aperture is analyzed by simulating the magnitude and direction of the maximum horizontal principal stress and the rock

mechanics parameters. Additionally, the rotation angle and flip angle are continuously transformed in the dynamic coordinate system to obtain the permeability ellipsoid data of fractures by establishing a model of the 3D fracture permeability tensor. Moreover, the planar distribution of the principal values of fracture permeability and the directions of principal values are determined (Figure 4). In general, 3D models of the fracture permeability tensor are suitable for calculating the permeability tensors of all types of fracture reservoirs and provide information for simulations of fractured reservoirs, the deployment of well networks, geological modeling, the design of horizontal wells, and fracture construction.

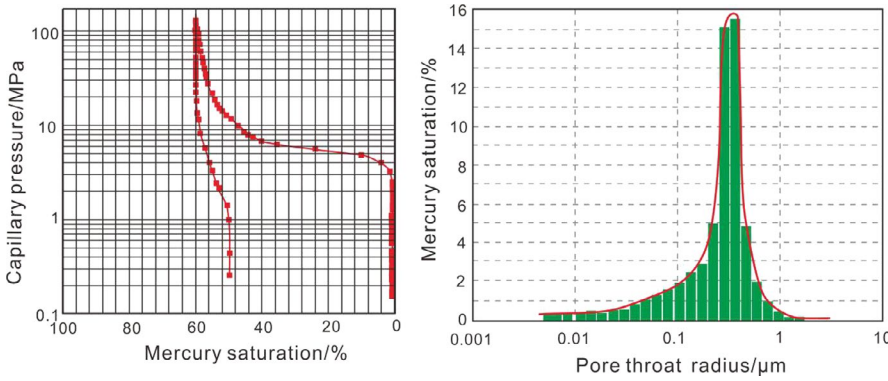
#### 3.1 | Multiparameter calculation model of fractures

##### 3.1.1 | Calculation model for subsurface fracture aperture

Although the in situ stress field is not producing new natural fractures, it affects the previously formed underground fracture system. Therefore, determining the magnitude and direction of the in situ stress field is critical for evaluating the fracture openings of unfilled fractures. Under the influence



**FIGURE 2** Classification and correlation of the Yanchang Formation and its oil-bearing formation components in the western-central Ordos Basin



**FIGURE 3** (A) Characteristics of the capillary pressure curve in the Chang 7 oil-bearing formation of the Fanxue block; and (B) pore throat probability distribution of the Chang 7 oil-bearing formation of the Fanxue block

effect of present 3D extrusion stress, the aperture can significantly decrease and cause a decline in the associated seepage. The magnitude of the fracture aperture ( $b$ ) is controlled by stress and strain. If we do not consider the chemical reactions of fluids inside the fracture wall, a relatively good functional relationship exists between the stress and strain and fracture aperture  $b$ . Willis-Richards et al<sup>45</sup> and Hicks et al<sup>46</sup> proposed the following fracture aperture model:

$$b = \frac{b_0}{1 + 9\sigma'_n / \sigma_{nref}} + b_{res} \quad (1)$$

In Equation (1),  $b$  is the actual present underground fracture aperture, m;  $b_0$  is the original fracture aperture, m; in this paper,  $b_0$  is determined by core observation.  $\sigma'_n$  is the effective normal stress, MPa; and  $b_{res}$  represents the fracture aperture when the fracture surface bears the maximum effective normal stress. Because  $b_{res}$  is very small, the value is zero in the simulation. Additionally,  $\sigma_{nref}$  is the effective normal stress that corresponds to a 90% reduction in the fracture aperture and the magnitude of this parameter varies with differences in the lithology. Durham and Bonner<sup>47</sup> proposed a high value of  $\sigma_{nref}$  (greater than 200 MPa). Willis-Richards et al<sup>45</sup> proposed that  $\sigma_{nref}$  was between 10 and 26 MPa. Qin<sup>48</sup> proposed that for rock samples with uniaxial compressive strength between 30 and 50 MPa,  $\sigma_{nref}$  can be set to 30 MPa. In addition,  $\sigma'_n$  in Equation (1) is the effective normal stress:

$$\sigma'_n = \sigma_n - p_o \quad (2)$$

In Equation (2),  $\sigma_n$  is the normal stress perpendicular to the fracture surface, MPa;  $p_o$  is the pore pressure, MPa.

### 3.1.2 | Prediction model for fracture occurrence and linear fracture density

According to the numerical simulation results of the paleostress field and the rock mechanics parameters, the occurrence of fractures can be calculated by choosing appropriate rock fracture criteria. The fracture model for rock is different

from the coordinate system of the stress field; therefore, a specific mathematical transformation model is needed to obtain the actual fracture occurrence. Therefore, we define the normal vector of the fracture surface as  $\mathbf{n}$ . The angle between the principal stress and the geodetic coordinate system is defined as follows:

1. The angle between the maximum principal stress and the three coordinate axes is expressed as  $\alpha_{11}$ ,  $\alpha_{12}$ , and  $\alpha_{13}$ ;
2. The angle between the intermediate principal stress and the three coordinate axes is expressed as  $\alpha_{21}$ ,  $\alpha_{22}$ , and  $\alpha_{23}$ ;
3. The angle between the minimum principal stress and the three coordinate axes is expressed as  $\alpha_{31}$ ,  $\alpha_{32}$ , and  $\alpha_{33}$ .

In finite element software, the specific algorithm for converting the stress field coordinate system into fracture occurrence is described below.  $\mathbf{n}$  can be expressed in the stress field coordinate system as follows:

$$\begin{bmatrix} n'_x \\ n'_y \\ n'_z \end{bmatrix} = \begin{bmatrix} \sin \xi \\ 0 \\ \cos \xi \end{bmatrix} \quad (3)$$

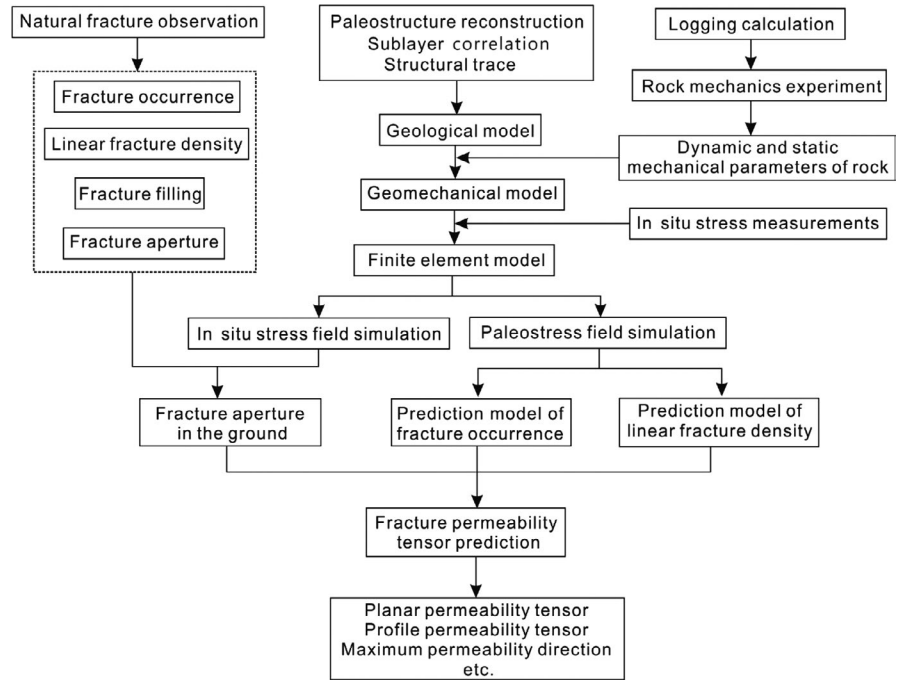
In Equation (3),  $\xi$  is the rupture angle of the rock.  $\mathbf{n}$  can be expressed in geodetic coordinates as follows:

$$\begin{bmatrix} n_x \\ n_y \\ n_z \end{bmatrix} = \begin{bmatrix} \cos \alpha_{11} & \cos \alpha_{21} & \cos \alpha_{31} \\ \cos \alpha_{12} & \cos \alpha_{22} & \cos \alpha_{32} \\ \cos \alpha_{13} & \cos \alpha_{23} & \cos \alpha_{33} \end{bmatrix} \begin{bmatrix} n'_x \\ n'_y \\ n'_z \end{bmatrix} \quad (4)$$

According to Equation (4), the fracture dip angle ( $\delta$ ) and the fracture inclination ( $\omega$ ) can be expressed as follows:

$$\begin{cases} \tan \delta = \frac{\sqrt{n_x^2 + n_y^2}}{n_z} \\ \tan \omega = \frac{n_x}{n_y} \end{cases} \quad (5)$$

**FIGURE 4** Flowchart for simulating the 3D permeability tensor of a fractured reservoir



$$\delta = \arctan \left( \frac{\sqrt{n_x^2 + n_y^2}}{n_z} \right) \quad (6)$$

$$\omega = \arctan \left( \frac{n_x}{n_y} \right) \quad (n_x \geq 0, n_y > 0) \quad (7)$$

$$\omega = \arctan \left( \frac{n_x}{n_y} \right) + \pi \quad (n_x \leq 0, n_y > 0) \quad (8)$$

$$\omega = \arctan \left( \frac{n_x}{n_y} \right) + \pi \quad (n_x < 0, n_y \leq 0) \quad (9)$$

$$\omega = \arctan \left( \frac{n_x}{n_y} \right) + 2\pi \quad (n_x \geq 0, n_y < 0) \quad (10)$$

The fracture density calculation model proposed by Liu et al<sup>7</sup> combined with the paleostress field simulation results allows the fracture density in different periods to be calculated.

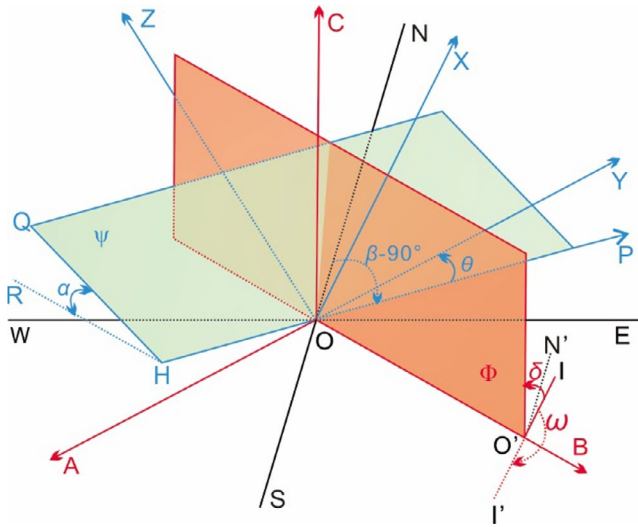
### 3.1.3 | 3D permeability tensor model

After underground strata break to generate fractures, the fracture permeability tensor can be studied using appropriate models. The fracture permeability anisotropy can be determined by establishing a model of the associated microelements. To satisfy the needs of this study, we assume that the element model is sufficiently small and that all the fractures can cut through it. Although previous studies established different models of

fracture permeability anisotropy, the associated coordinate system was typically static. In such cases, only the permeability in the direction of the coordinate axis could be obtained, and determining the maximum permeability and minimum permeability in arbitrary directions in space is difficult. In this paper, we combine a static coordinate system and a dynamic coordinate system to reasonably solve this problem. Compared with conventional methods, a multicoordinate system has distinct advantages and solves the following issues:

1. the characterization of the permeability tensor of a complex fracture network (different apertures, densities, and occurrences);
2. the quantitative characterization of the main seepage direction and the main seepage plane in fractured reservoirs; and
3. the characterization of permeability tensors in any profile.

As shown in Figure 5, we set the dip angle of the fracture plane ( $\Phi$ ) as  $\delta$  and the inclination as  $\omega$ .  $I$  is the horizontal projection of the slope of  $\Phi$ . The fracture is considered as a reference object to establish the coordinate system O-ABC (static coordinate system), and the three axes of coordinate system O-ABC correspond to the direction normal to the fracture plane (OA axis), the trending direction of fractures (OB axis), and the direction perpendicular to the strike of the fractures in the fracture plane (OC axis). We set the dip angle of any seepage plane  $\psi$  as  $\alpha$  (flip angle) and the inclination as  $\beta$ , and the unit normal vector is  $m$ . The seepage plane  $\psi$  is used as a reference to establish another coordinate system, O-XYZ (dynamic coordinate system). The  $\theta$  (rotation angle) is the angle between axis OY and axis OP in the seepage plane  $\psi$ . Specifically, the OX axis is the direction normal to the seepage plane  $\psi$ , and



**FIGURE 5** Geometric calculation model of the 3D fracture permeability tensor. EW is the east-west direction; S-N is the north-south direction; O-XYZ is the dynamic coordinate system; O-ABC is the static coordinate system;  $\Phi$  is the fracture plane;  $\psi$  is any seepage plane;  $\alpha$  is the dip angle of any seepage plane  $\psi$ , namely the flip angle;  $\theta$  is the rotation angle;  $\beta$  is the inclination dip angle of any seepage plane  $\psi$ ; QH is the trend line of  $\psi$ ; and RH is the projection of QH in the horizontal plane

the OY axis and OZ axis are located in the seepage plane  $\psi$ . Furthermore, OP is the line of strike of the seepage plane  $\psi$ ; HQ is the slope of the seepage plane  $\psi$ , and HR is the horizontal projection of the slope of  $\psi$ . Lines OS, O'N', I'I, ON, OE, OW, HR, OH, OP, and OB are in the horizontal plane.

Based on the known linear density and underground aperture of fractures, the parallel permeability  $K$  of a single set of fractures (or individual fracture) can be expressed as follows<sup>8</sup>:

$$K = \frac{b^3 D_{lf}}{12} \tag{11}$$

In Equation (11),  $D_{lf}$  is the linear density of a single set of fractures, fracture/m.

Let the permeability tensor of the  $i$ th fracture be  $\mathbf{K}$   $\mathbf{K}$  can be expressed in coordinate system O-XYZ as follows:

$$\mathbf{K} = (X, Y, Z) \cdot \mathbf{K}_{XYZi} \cdot \begin{bmatrix} X \\ Y \\ Z \end{bmatrix} \tag{12}$$

Similarly, the expression of the permeability tensor  $\mathbf{K}$  in coordinate system O-ABC can be written as

$$\mathbf{K} = (A, B, C) \cdot \mathbf{K}_{ABC} \cdot \begin{bmatrix} A \\ B \\ C \end{bmatrix} \tag{13}$$

Let the permeability tensor of a fracture be  $\mathbf{K}$   $\mathbf{K}$  can be expressed in coordinate system O-ABC as follows.

$$\begin{bmatrix} A \\ B \\ C \end{bmatrix} = \begin{bmatrix} a & b & c \\ b & -a & 0 \\ -ac & -bc & \sin^2 \delta \end{bmatrix} \tag{14}$$

Similarly, the expression of the permeability tensor  $\mathbf{K}$  in coordinate system O-XYZ can be written as

$$\begin{bmatrix} X \\ Y \\ Z \end{bmatrix} = \begin{bmatrix} e & f & g \\ f \cos \theta - eg \sin \theta & -fg \sin \theta - e \cos \theta & \sin^2 \alpha \sin \theta \\ -eg \cos \theta - f \sin \theta & e \sin \theta - fg \cos \theta & \sin^2 \alpha \cos \theta \end{bmatrix} \tag{15}$$

In coordinate system O-XYZ, the permeability tensor  $\mathbf{K}_{ABC}$  of a single set of fractures in each element can be expressed as follows:

$$\mathbf{K}_{ABC} = \begin{bmatrix} 0 & 0 & 0 \\ 0 & K & 0 \\ 0 & 0 & K \end{bmatrix} \tag{16}$$

From Equations (16)-(18), we obtain

$$\mathbf{K}_{XYZi} = T \cdot \mathbf{K}_{ABC} \cdot T^T \tag{17}$$

The rotational matrix required to shift from coordinate system O-ABC to coordinate system O-XYZ is  $T$ , and it can be expressed as follows:

$$T = \begin{bmatrix} m_{11} & m_{12} & m_{13} \\ m_{21} & m_{22} & m_{23} \\ m_{31} & m_{32} & m_{33} \end{bmatrix} \tag{18}$$

In coordinate system O-XYZ, the permeability tensor  $\mathbf{K}_{XYZi}$  of a single set of fractures (or single fracture) in the element can be expressed as follows:

$$\mathbf{K}_{XYZi} = K \begin{bmatrix} m_{12}^2 + m_{13}^2 & m_{12}m_{22} + m_{13}m_{23} & m_{12}m_{32} + m_{13}m_{33} \\ m_{12}m_{22} + m_{13}m_{23} & m_{22}^2 + m_{23}^2 & m_{22}m_{32} + m_{23}m_{33} \\ m_{12}m_{32} + m_{13}m_{33} & m_{22}m_{32} + m_{23}m_{33} & m_{32}^2 + m_{33}^2 \end{bmatrix} \tag{19}$$

The mathematical explanations of  $a, b, c, d, e, f, m_{11}, m_{12}, m_{13}, m_{21}, m_{22}, m_{23}, m_{31}, m_{32},$  and  $m_{33}$  can be found in the Appendix 1.

Under complicated geological conditions, a reservoir undergoes multiple episodes of tectonic stress field evolution and develops multiple sets of fractures, and the occurrence, density, and aperture of each fracture set are often different. According to Equations (17)-(19), when multiple fractures develop in an element, the permeability tensor  $K_{XYZ}$  can be expressed by

$$K_{XYZ} = \sum_{i=1}^k K_{XYZi} T_i \quad (20)$$

In Equation (20),  $k$  is the number of fracture sets inside the element; and  $T_i$  is the rotational matrix when the  $i$ th set of fractures is transformed from the components of the O-ABC coordinate axis to the components of the O-XYZ coordinate axis.

## 4 | RESULTS AND DISCUSSION

### 4.1 | Fracture developmental characteristics

Whole core and field observations can be used to determine the mechanical features of fractures by observing the features of the fracture surface, such as forms, scratches, extension distance, roughness, and presence of gravel and fill (Figure 6A-C). Core observations indicate that the core mainly develops shear fractures, slip fractures, and overpressure fractures (Figure 6B,C). The lithology is dominated by siltstone and fine sandstone, and most of it is not filled. The observation of microfractures shows that the fractures in the study area are mostly filled with black matter, which may be organic matter, indicating that these microfractures can be used as important oil-gas migration channels and reservoir spaces (Figure 6D). The longitudinal extension length of the fractures is between 0.05 m and 1.0 m, with most less than 0.3 m, which indicates that the fractures generally develop in a single sand body. The fracture trends are mainly in the NE (45°) and ESE (120°) directions (Figure 7A). The fracture apertures of thin slices are concentrated in the range of 10-300  $\mu$ m (Figure 7B), and the average fracture aperture is 130  $\mu$ m.

### 4.2 | Stress field simulation

#### 4.2.1 | In situ stress measurements

The use of hydraulic fractures (HFs) technology for microseismic monitoring is an effective method of directly measuring the direction of the maximum horizontal principal stress. As shown in Table 1, the results of hydraulic fracturing in the Fanxue block show that the principal stress is predominantly

in the ENE (73.6°) direction. Elliptical borehole breakouts are commonly utilized to determine the orientation of the in situ stress in deep sedimentary basins. The long axes of borehole breakouts are generally perpendicular to  $\sigma_H$ .<sup>21-22,49-52</sup> As shown in Table 1, the results of the borehole breakout in the Fanxue block show that the principal stress is predominantly in the ENE (62.1°) direction. As shown in Table 1, the direction of the in situ stress field in the Fanxue block is predominantly in the ENE direction, based on differential strain, microseismic monitoring, regional borehole collapse measurements, and stress relief.

The in situ stress is mainly determined by the MTS286 rock test system through acoustic emission experiments. This system is one of the most advanced acoustic emission processing systems in the world. According to the Kaiser effect principle, the acoustic emission signal at the sudden and obvious increase is found on the acoustic emission signal graph, and the magnitude of the load is recorded, which is the ground stress of the rock in the direction underground. The rock is subjected to 3D stress in the ground; thus, it is necessary to test in different directions to determine the magnitude of the principal stress in three directions. Generally, three rock samples are drilled in a direction perpendicular to the axis of the drilling core in an increment of 45°, and a vertical rock sample is taken in parallel with the core axis to obtain the Kaiser point stress in four directions. The in situ stress of the deep rock can be determined by the following equation<sup>53</sup>:

$$\sigma_v = \sigma_{\perp} + \alpha_e p_o \quad (21)$$

$$\sigma_H = \frac{\sigma_0 + \sigma_{90}}{2} + \frac{\sigma_0 - \sigma_{90}}{2} (1 + \tan^2 2\kappa)^{\frac{1}{2}} + \alpha_e p_o \quad (22)$$

$$\sigma_h = \frac{\sigma_0 + \sigma_{90}}{2} - \frac{\sigma_0 - \sigma_{90}}{2} (1 + \tan^2 2\kappa)^{\frac{1}{2}} + \alpha_e p_o \quad (23)$$

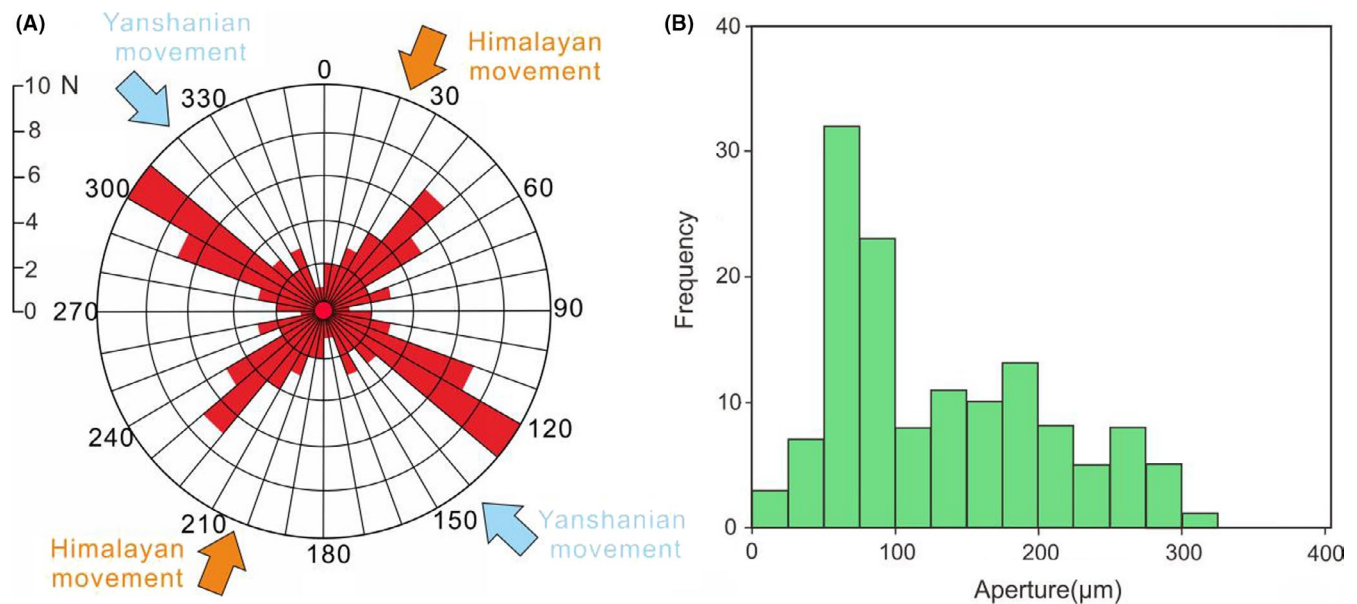
$$\tan 2\kappa = \frac{\sigma_0 + \sigma_{90} - 2\sigma_{45}}{\sigma_0 + \sigma_{90}} \quad (24)$$

In Equations (21)-(24),  $\sigma_v$  is the overlying formation stress;  $\sigma_H$  and  $\sigma_h$  are the maximum and minimum horizontal principal stresses, respectively; and  $p_o$  is the pore pressure.  $\alpha_e$  is the effective stress coefficient;  $\sigma_{\perp}$  is the core Kaiser point stress<sup>54</sup> in the vertical direction; and  $\sigma_0$ ,  $\sigma_{45}$ , and  $\sigma_{90}$  are the Kaiser point stresses of three horizontal directions (0°, 45°, and 90°, respectively). Through testing five rock samples in the study area, the vertical stress gradient is 2.28 MPa/100 m, the maximum horizontal principal stress gradient is 1.96 MPa/100 m, the minimum horizontal principal stress gradient is 1.77 MPa/100 m, the minimum horizontal principal stress is 42.0 MPa, and the maximum horizontal principal stress is 46.7 MPa.





**FIGURE 6** Characteristics of natural fractures observed in field and drill whole cores of the Chang 7 oil-bearing formation in the study area. (A) Conjugated vertical unsealed fractures observed in the field, where the fracture surface is straight; (B) vertical unsealed fractures with a long cutting depth in the F6403 well, 2405.12 m; and (C) small normal faults characterized by strike-slip, convergence at one end and divergence at the other, F1387 well, 2369.24 m; (D) microfractures are filled with black material



**FIGURE 7** (A) Strikes of tectonic fractures, where the dominant strikes of fractures in the Fanxue block are EN-WS and ESE-WNW; and (B) frequency distribution of fracture apertures in thin slices

#### 4.2.2 | Geological model

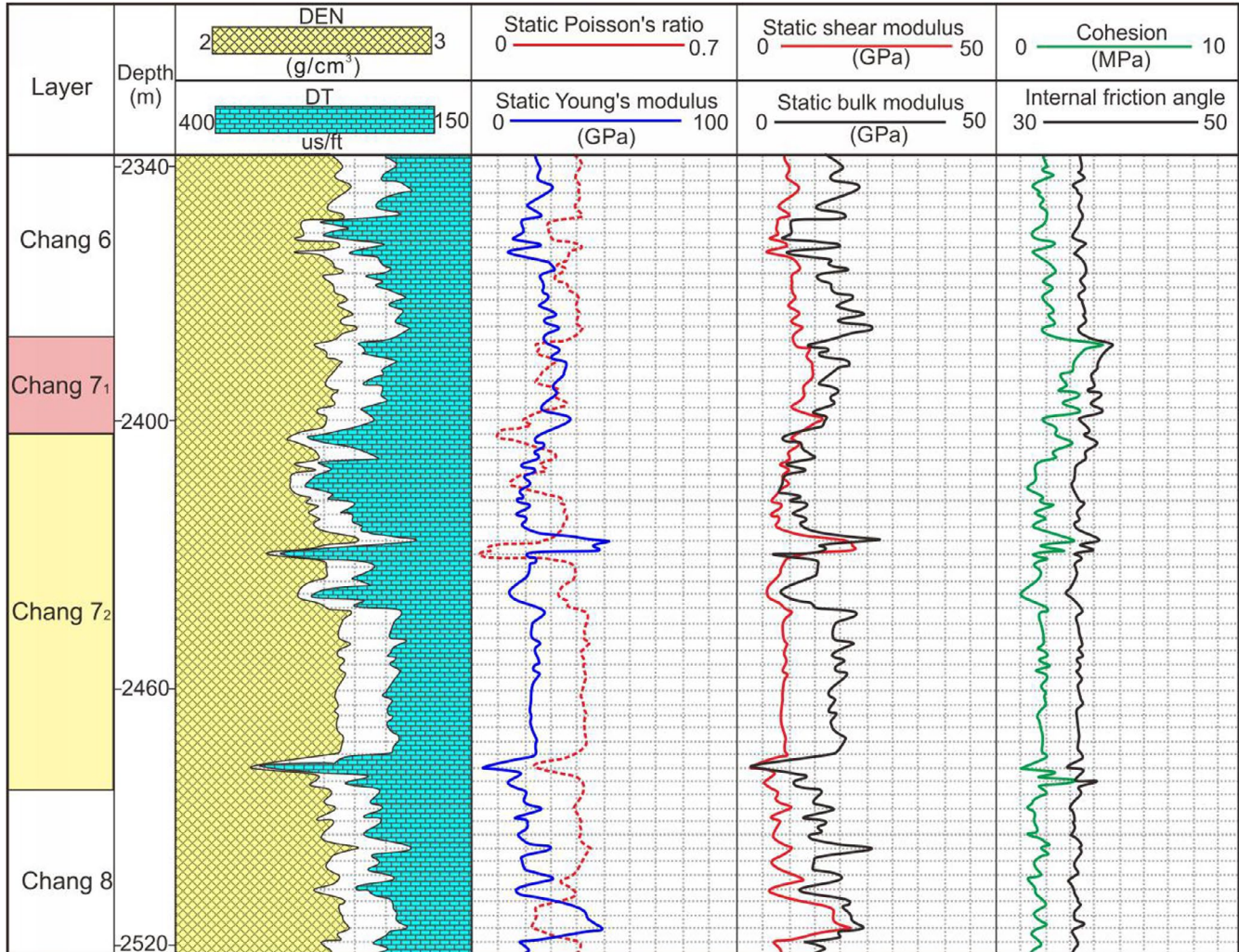
The distribution of the in situ stress is affected by multiple factors, such as the rock mechanical parameters and tectonic relief. In this paper, the FEM is used to simulate the 3D in situ stress field. The in situ stress field simulations include establishing geological models, mechanical models, and finite element models (mathematical models).

The geological model is established after sublayer correlation and interpolating layered data. The mechanical model is mainly based on the mechanical parameters of different lithologies determined by triaxial mechanical experiments and logging calculation. The layer model established in petrel is imported into the finite element software, and the three-dimensional finite element mesh is obtained by the method of free mesh generation. The finite element model

**TABLE 1** Comparison of maximum horizontal principal compression stress from the different measurement methods in the Fanxue block

Data	Number	Quality	Direction of $S_H$	Region
Borehole breakouts	19	A	ENE62.13°	Regional
Differential strain	8	A	ENE66.73°	Regional
Hydraulic fractures	5	A	ENE73.60°	Local
Stress relief	1	C	ENE72°	Regional

Note: The quality ranking system of the WSM project<sup>51</sup> was used to assess the reliability of the results in each location.



**FIGURE 8** Logging interpretation results of rock mechanical parameters from the F4648 well

includes boundary conditions (stress size, stress direction, and displacement constraints) and finite element meshing. In the finite element simulation, as the required accuracy of the simulation results of the geological model increase, more calculations are needed. In this study, the target horizon is retained as a 3D heterogeneous geological structure, and other horizons are considered as a set of homogeneous formations (surrounding rocks). The geological model of the study area was established using the bottom structural map of the Chang 7<sub>1</sub> layer, which has an average thickness of 25 m.

### 4.2.3 | Mechanical model

The mechanical properties of rocks include the deformation and strength characteristics of rocks. The mechanical property parameters reflecting rock deformation characteristics are also called elastic parameters, including Young's modulus ( $E$ ), bulk modulus ( $K_b$ ), shear modulus ( $G$ ), and Poisson's ratio ( $\mu$ ). The relevant formulas are as follows<sup>55,56</sup>:

$$E = \frac{\rho}{\Delta t_s^2} \times \frac{3\Delta t_s^2 - 4\Delta t_p^2}{\Delta t_s^2 - \Delta t_p^2} \times 10^6 \quad (25)$$

$$\mu = \frac{\Delta t_s^2 - 2\Delta t_p^2}{2\Delta t_s^2 - \Delta t_p^2} \quad (26)$$

$$G = \frac{\rho}{\Delta t_s^2} \times 9.29 \times 10^7 \quad (27)$$

$$K_b = \rho \times \frac{3\Delta t_s^2 - 4\Delta t_p^2}{3\Delta t_s^2 \Delta t_p^2} \times 9.29 \times 10^7 \quad (28)$$

Through mechanical experiments on rock samples from the Chang 7 oil-bearing formation, we determined the calculation model of internal friction angle and shear strength of rock in the study area:

$$\nu = -109.89 + 2.024E - 31.814\mu + 51\rho \quad (29)$$

$$\sigma_s = 103.553 + 1.290E - 359.85\mu + 2.0\rho \quad (30)$$

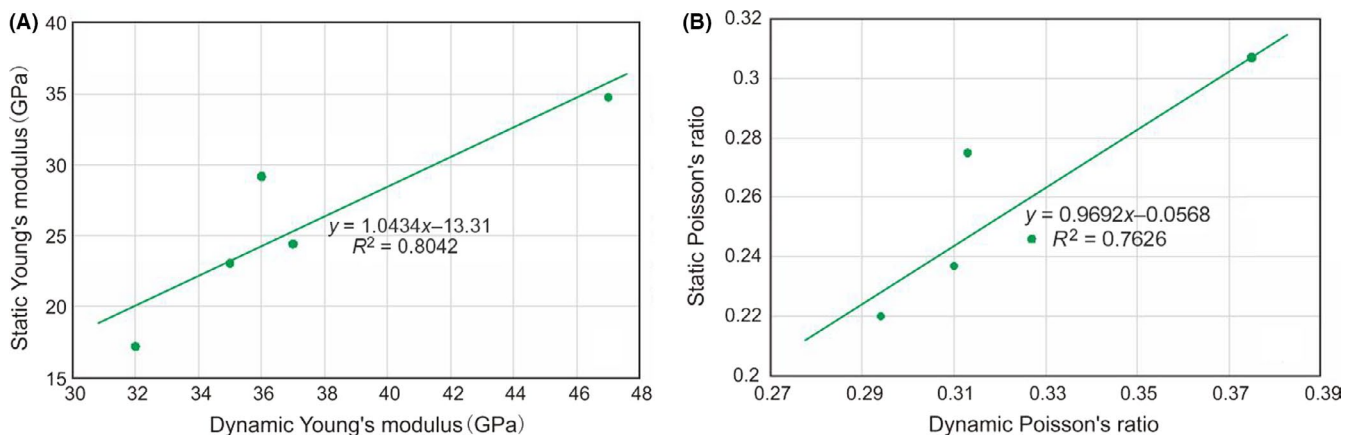
In Equations (25)–(30),  $\rho$  is the density,  $\text{g/cm}^3$ ;  $\Delta t_s$  and  $\Delta t_p$  are the shear wave slowness and compressional wave slowness, respectively,  $\mu\text{s/m}$ ;  $\nu$  is the internal friction angle of the rock,  $^\circ$ ; and  $\sigma_s$  is the shear strength of the rock, MPa.

Based on the data of 145 development wells (19 horizontal wells) in the study area (Figure 1B), the mechanical parameters of each well are calculated, and the 3D distribution of rock attribute parameters in the study area is obtained by Kriging interpolation algorithm. Young's modulus of the rock is between 17 and 34 GPa, Poisson's ratio is between 0.18 and 0.34, and the shear strength is between 13 and 46 MPa. The density of the rock in the study area is between 2360 and 2600  $\text{kg/m}^3$ , and the internal friction angle of the rock is between 42 and 66 $^\circ$  (Figure 8). The static mechanical parameters of rock are obtained from the dynamic and static mechanical parameters transformation mathematical model (Figure 9D). We adopt the FEM to establish the current

geomechanical model of the study area and use finite element software for numerical simulations of the in situ stress field for the Chang 7<sub>1</sub> layer in the Fanxue block.

#### 4.2.4 | Finite element model

Previous studies have shown that the structural fractures in the western Ordos Basin were mainly formed in the Yanshanian and Himalayan periods (Figure 7A). At the end of the Jurassic, under the NWW-SEE horizontal tectonic compressive stress, the nearly EW and NW-SEE conjugate shear fractures formed. During the Late Cretaceous-Paleogene period, under the NNE-SW horizontal compressive stress field, the N-S and NE-SW conjugate shear fractures formed. However, due to the strong heterogeneity of the rock strata caused by sedimentation and diagenesis, the development degree of the two sets of conjugate shear fractures in the same period is different in different sedimentary systems. One set of fractures is restrained, and the development degree is poor. Therefore, a set of single shear fractures mainly developed in each construction period. Under the two-stage tectonic movement, the two sets are mainly composed of two orthogonal fracture systems. Through an analysis of single well burial history, it is determined that the burial depth of the Chang 7<sub>1</sub> layer in the Yanshanian period is approximately 2320 m, whereas that of the Chang 7<sub>1</sub> layer in Himalayan period is approximately 2090 m. Combined with triaxial mechanical experiment and fracture occurrence, the maximum horizontal principal stress of the Yanshanian period is 141 $^\circ$ , and the maximum principal stress of the Himalayan period is 27 $^\circ$ . According to Ju et al,<sup>16</sup> Zhao and Hou,<sup>18</sup> Zhao et al<sup>57</sup> and the fracture conditions of the rocks, it is determined that the maximum horizontal principal stress in the Yanshanian period is 145 MPa, the minimum horizontal principal stress in the Yanshanian period is 43 MPa, the maximum horizontal principal stress in the Himalayan period is 95 MPa, and the minimum horizontal principal stress in the Himalayan period is 34 MPa.



**FIGURE 9** (A) Relationship between the dynamic Young's modulus and the static Young's modulus of the rock; and (B) relationship between the dynamic Poisson's ratio and the static Poisson's ratio of the rock

We adopt SOLID45 units and a relatively tight grid division for the Chang 7<sub>1</sub> layer; SOILD45 units are suitable for numerical simulations of 3D layered structures. The target horizon is divided into fine grids, and the average edge length of the element is set to 28 m. The surrounding rocks of the Chang 7<sub>1</sub> layer are partitioned with a sparse mesh. The number of elements and nodes in finite element models for different periods is shown in Table 2. Through computer programming, the calculated 3D rock mechanical parameter distribution (Figure 10) is assigned to the corresponding element. The stress boundary conditions for different periods are shown in Figure 11, and a displacement constraint in the Z direction is applied to the bottom of the model to prevent the model from moving. (Figure 11).

#### 4.2.5 | Simulation results

In this paper, positive values represent compressive stress. The distribution characteristics of the principal stress that occurs in different periods are similar. Taking the present stress field as an example (Figure 12), the minimum principal stress is between 34 and 52 MPa, with a direction of NWW (340°), and the intermediate principal stress is between 38 and 27 MPa, with a direction of ENE (70°). The direction of the horizontal principal stress is changed by 10-20° in different positions. The maximum principal stress is in the vertical direction, which is mainly controlled by the rock density and

burial depth, and its magnitude is between 59 and 63 MPa. By using the distributions of stress, strain, and rock mechanics parameters, the fracture parameters in different units are simulated, and the permeability tensor in each unit is calculated by programming.

### 4.3 | Multiparameter prediction of fractures

#### 4.3.1 | Prediction of the linear fracture density

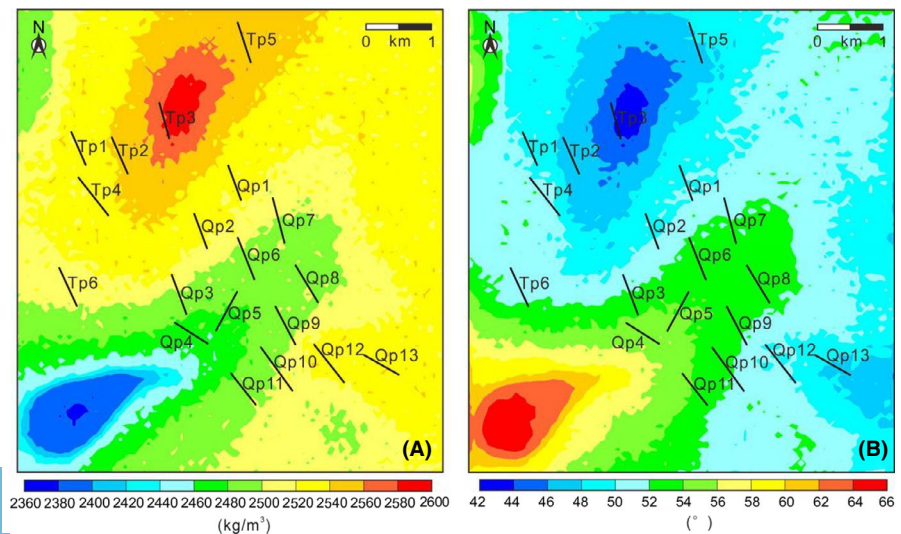
The distribution of linear fracture density can be obtained by using the simulation results of the paleostress field and the mathematical model proposed by Liu et al.<sup>21</sup> As shown in Figure 13, the linear fracture density in the Himalayan period is concentrated in the range of 0.1-0.6 fractures/m, and the linear fracture density in the Yanshanian period is concentrated in the range of 0.1-1.1 fractures/m. In the Himalayan period, the fracture linear density is high in the northwest region and low in the southeast region, and the fracture is not developed. The linear fracture density in the Yanshanian period is high in the east and low in the west.

#### 4.3.2 | Prediction of the fracture occurrence

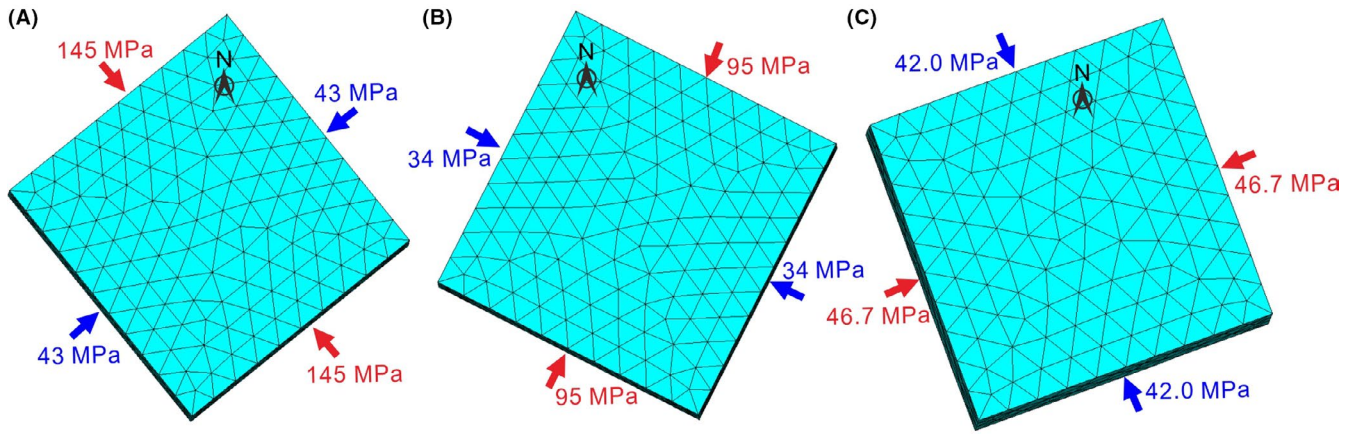
Through the paleostress field simulations, the distributions of two sets of fractures are determined using Equations (3)-(10). The simulated dip angle of the fracture is mainly vertical, with a dip angle of more than 80°. The simulated Himalayan fracture strikes are concentrated between 41 and 64°, and the simulated Yanshanian fracture strikes are concentrated between 111 and 131° (Figure 14). These results are consistent with those based on core observations (Figure 7A).

**TABLE 2** Number of elements and nodes in finite element models in different periods in the Fanxue block

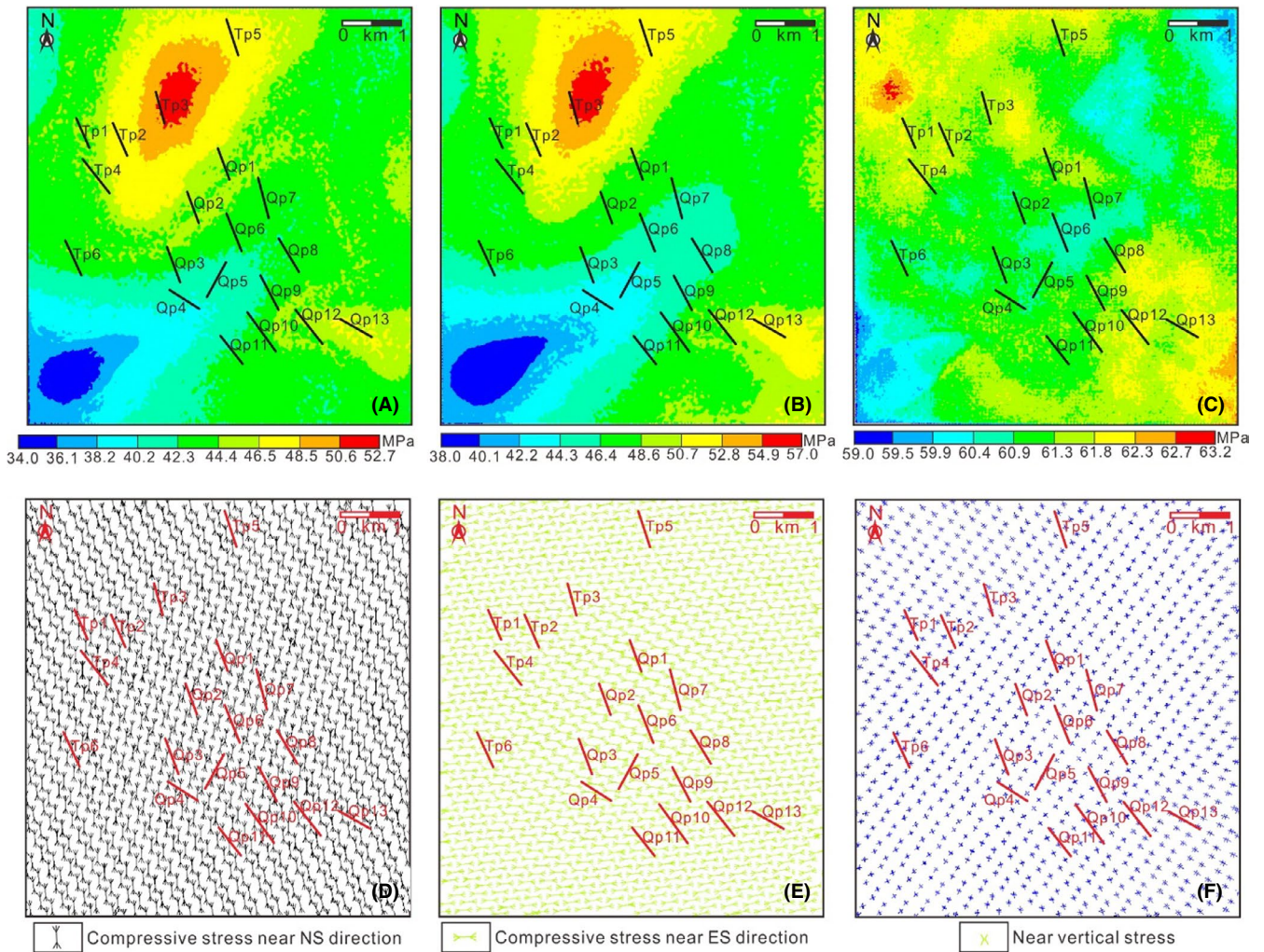
Model	Number of elements	Number of nodes
Yanshanian period	780 165	183 075
Himalayan period	790 896	184 636
Present	910 755	204 429



**FIGURE 10** (A) Distribution of the rock density in the Chang 7<sub>1</sub> layer in the Fanxue block; and (B) distribution of the internal friction angle in the Chang 7<sub>1</sub> layer in the Fanxue block

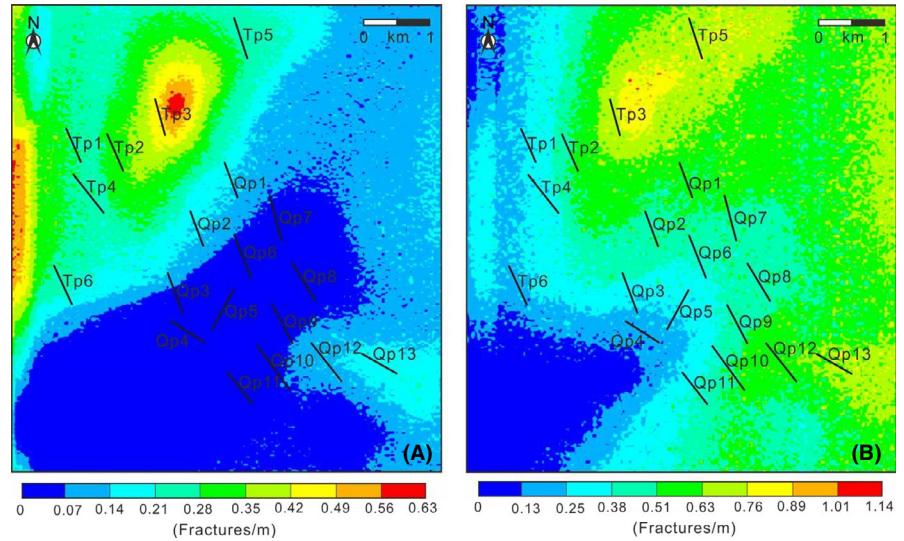


**FIGURE 11** Mechanical model and boundary conditions for the in situ stress simulation in the Fanxue block: (A) Yanshanian model; (B) Himalayan model; and (C) current model

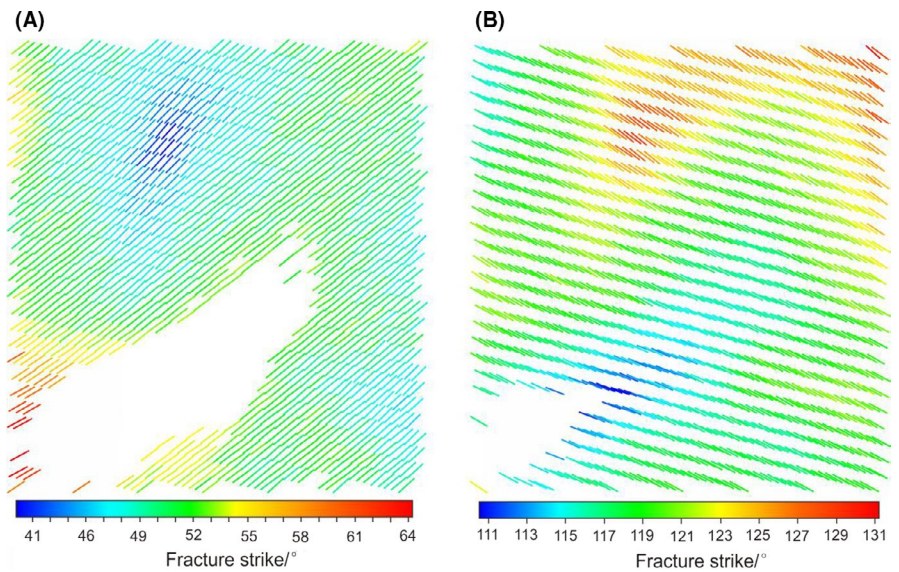


**FIGURE 12** (A) Distribution of the minimum principal stress in the Fanxue block; (B) distribution of the intermediate principal stress in the Fanxue block; (C) distribution of the maximum principal stress in the Fanxue block; (D) direction of the minimum principal stress in the Fanxue block; (E) direction of the intermediate principal stress in the Fanxue block; and (F) direction of the maximum principal stress in the Fanxue block

**FIGURE 13** Distribution of the linear fracture density in the study area: (A) fracture formed in the Himalayan period; and (B) fracture formed in the Yanshanian period



**FIGURE 14** (A) Fracture strike distribution in the Himalayan period; and (B) fracture strike distribution in the Yanshanian Period

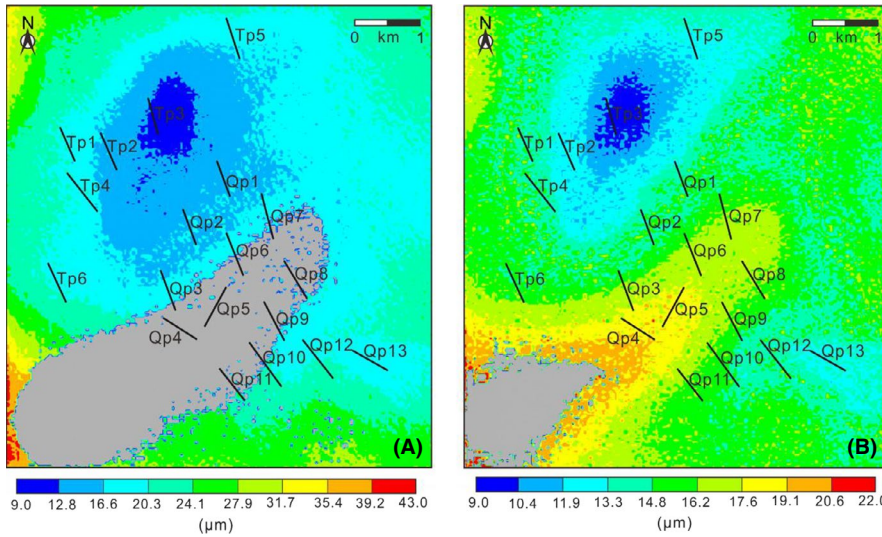


### 4.3.3 | Prediction of the fracture aperture

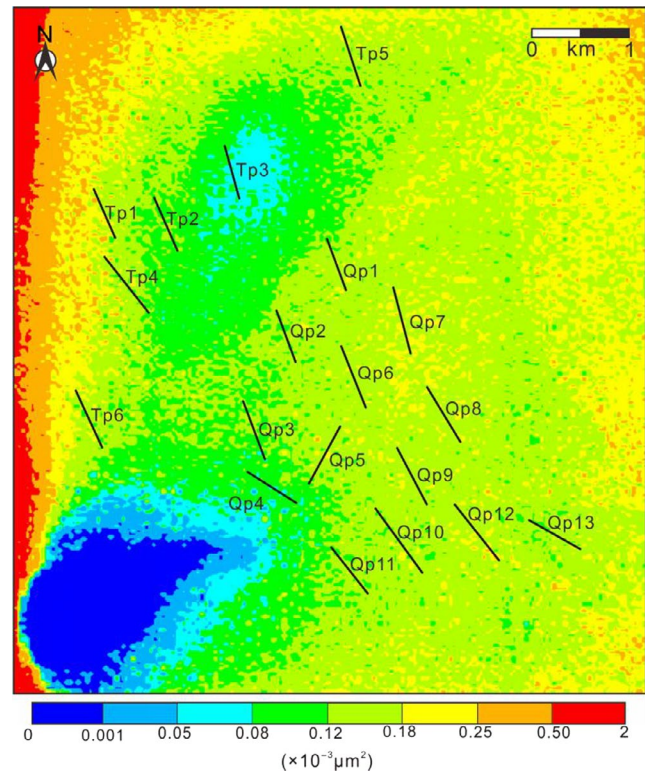
Using the results of the paleostress field simulation and Equations (1) and (2), an original fracture aperture ( $b_0$ ) of 130  $\mu\text{m}$  (Figure 7B), and  $\sigma_{nref}$  of 35 MPa, the apertures of fractures in different periods are obtained (Figure 15). The maximum horizontal principal stress in Fanxue block is in the NEE direction; therefore, the fracture aperture of the Yanshanian period is generally smaller than that of the Himalayan period. The fracture aperture in the Himalayan period is between 9 and 43  $\mu\text{m}$ , and the fracture aperture in the Yanshanian period is between 9 and 22  $\mu\text{m}$ . The fracture aperture is low in the vicinity of the Tp3 well, and the fracture apertures in both periods are less than 12  $\mu\text{m}$ . The fracture aperture in the Himalayan period is low in the middle and high in the periphery of the Fanxue Block. The fracture aperture of the Yanshanian period is similar to that of the Himalayan period, and the value is greater than 18  $\mu\text{m}$ .

### 4.4 | Fracture permeability tensor prediction

The linear density, aperture, and occurrence distribution of fractures in each element can be determined by simulating the stress field. Furthermore, a permeability tensor model can be established for each element. Using Equations (28)-(30), the principal value and direction of permeability in each element are output by continuously adjusting the rotation angle and the flip angle of the dynamic coordinate system O-XYZ; that is, we calculate the flip angle  $\alpha$  and rotation angle  $\theta$  in Equations (19) and (20) via cyclic calculations and output the corresponding principal value of permeability. Additionally, we can output the direction and size of the maximum permeability. As shown in Figure 16, the fracture permeability results predicted by the stress field method indicate that the maximum principal values of permeability in the Chang 7<sub>1</sub> layer are concentrated and distributed in the



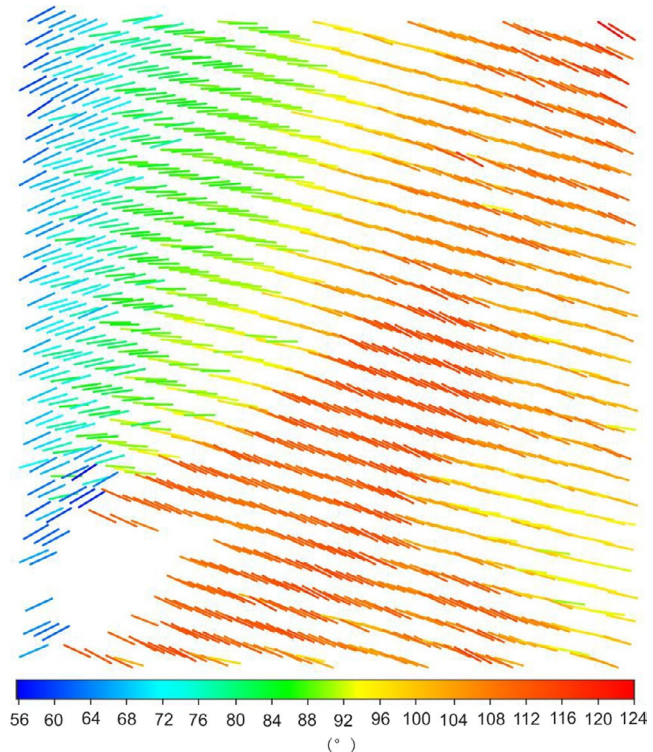
**FIGURE 15** (A) Fracture distribution in the Himalayan period; and (B) fracture strike distribution in Yanshanian period. Gray represents the area where fracture does not develop



**FIGURE 16** Distribution of the principal value of the fracture permeability in the study area

range of  $0.05\text{-}2 \times 10^{-3} \mu\text{m}^2$  (Figure 16). Specifically, in the western part of the study area, the fracture permeability is high, and the value is greater than  $0.25 \times 10^{-3} \mu\text{m}^2$ ; in the vicinity of the Tp5 well, the principal value of fracture permeability is low, and the value is less than  $0.8 \times 10^{-3} \mu\text{m}^2$ . In the eastern part of the study area, the change of permeability is not significant, and the value is between  $0.1 \times 10^{-3}$  and  $0.3 \times 10^{-3} \mu\text{m}^2$ .

From west to east, the maximum horizontal permeability direction in the study area is generally from NEE to EW



**FIGURE 17** Distribution of the maximum horizontal permeability direction of fractures in the study area

and then to SEE. In the western margin of the study area, the maximum horizontal permeability direction is  $56\text{-}72^\circ$ , and in the middle and northeast of the study area, the maximum horizontal permeability direction is  $100\text{-}124^\circ$  (Figure 17).

### 5 | CONCLUSIONS

1. In this paper, we establish a quantitative prediction model using a 3D permeability tensor for multiple fractures and

give the associated formula of the permeability tensor. Based on the paleo- and current stress field numerical simulations, the fracture density, occurrence, and aperture in the element are determined. By adjusting the rotation angle and flip angle of the dynamic coordinate system, we predict the permeability of elements in different directions.

- The aperture of the fracture formed in the Yanshanian period is generally smaller than the aperture of the fracture formed in the Himalayan period due to the influence of the maximum horizontal principal stress in NEE direction. The fracture aperture in the Himalayan period is between 9 and 43  $\mu\text{m}$ , and the fracture aperture in the Yanshanian period is between 9 and 22  $\mu\text{m}$ . The simulated fracture strikes in the Himalayan and Yanshanian periods are 41-64° and 111-131°, respectively. The linear fracture density is concentrated in the range of 0.1-0.6 fractures/m in the Himalayan period, and the linear fracture density is 0.1-1.1 fractures/m in the Yanshanian period. In the Himalayan period, the fracture linear density is high in the northwest region and low in the southeast region. The linear fracture density during the Yanshanian period is high in the east and low in the west. The fracture permeability results predicted by the stress field simulation show that the maximum principal permeability values in the reservoir of the Chang 7<sub>1</sub> layer are concentrated and distributed in the range of 0.05-2  $\times 10^{-3} \mu\text{m}^2$ . From west to east, the maximum horizontal permeability direction in the study area is generally from ENE to EW and then ESE.
- The permeability tensor of fractures is related to the occurrence, density, and aperture of the fractures. The occurrence and density of the fractures are predicted by recovering the paleostress field. The aperture of the fractures is determined through simulating the in situ stress. The paleostress and current stress fields can be combined to accurately calculate and predict the permeability tensors of fractured reservoirs.

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## NOMENCLATURE

$b$	actual present underground fracture aperture
$b_0$	original fracture aperture
$\sigma'_n$	effective normal stress

$b_{res}$	fracture aperture when the fracture surface bears the maximum effective normal stress
$\sigma_{nref}$	effective normal stress that corresponds to a 90% reduction in the fracture aperture
$\sigma_n$	normal stress perpendicular to the fracture surface
$p_o$	pore pressure
$\mathbf{n}$	normal vector of the fracture surface
$\delta$	fracture dip angle
$\omega$	fracture inclination
$\Phi$	fracture plane
$\psi$	any seepage plane
$\alpha$	dip angle of any seepage plane $\psi$
$\theta$	rotation angle
$\beta$	inclination dip angle of $\psi$
$\mathbf{m}$	unit normal vector of $\psi$
$\mathbf{K}$	permeability tensor of the fracture
$\sigma_v$	the overlying formation stress
$\sigma_H$	maximum horizontal principal stresses
$\sigma_h$	minimum horizontal principal stresses
$\alpha$	effective stress coefficient
$\sigma_{\perp}$	core Kaiser point stress in the vertical direction
$\rho$	rock density
$\Delta t_s$	shear wave slowness
$\Delta t_p$	compressional wave slowness
$\nu$	internal friction angle of the rock
$\sigma_s$	shear strength of the rock
$E$	Young's modulus
$K_b$	bulk modulus
$G$	shear modulus
$\mu$	Poisson's ratio
$\xi$	rupture angle of the rock
$\alpha_e$	effective stress coefficient

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## APPENDIX 1

$$a = \sin \delta \sin \omega$$

$$b = \sin \delta \cos \omega$$

$$c = \cos \delta$$

$$e = \sin \alpha \sin \beta$$

$$f = \sin \alpha \cos \beta$$

$$g = \cos \alpha$$

$$m_{11} = ae + bf + cg$$

$$m_{12} = \frac{be - af}{\sin \delta}$$

$$m_{13} = \frac{-ace - bcf + g \sin^2 \delta}{\sin \delta}$$

$$m_{21} = \frac{af \cos \theta - aeg \sin \theta - bfg \sin \theta - be \cos \theta + c \sin^2 \alpha \sin \theta}{\sin \alpha}$$

$$m_{22} = \frac{bf \cos \theta - beg \sin \theta + afg \sin \theta + ae \cos \theta}{\sin \delta \sin \alpha}$$

$$m_{23} = \frac{-acf \cos \theta + aceg \sin \theta + befg \sin \theta + bce \cos \theta + \sin^2 \delta \sin^2 \alpha \sin \theta}{\sin \delta \sin \alpha}$$

$$m_{31} = \frac{-af \sin \theta - aeg \cos \theta + be \sin \theta - bfg \cos \theta + c \sin^2 \alpha \cos \theta}{\sin \alpha}$$

$$m_{32} = \frac{-bf \sin \theta - beg \cos \theta - ae \sin \theta + afg \cos \theta}{\sin \delta \sin \alpha}$$

$$m_{33} = \frac{acf \sin \theta + aceg \cos \theta - bce \sin \theta + bcfg \cos \theta + \sin^2 \delta \sin^2 \alpha \cos \theta}{\sin \delta \sin \alpha}$$

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